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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Joseph A. King, Jeffery Johnson and Michael Pontius  
Serial No.: 10/635,999 Examiner:  
Filed: August 7, 2003 Group:  
For: UNIVERSAL SYSTEM

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on SEPT 2, 2004 by applicant's attorney, Carl L. Johnson.

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SEPT 2, 2004

Commissioner for Patents  
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Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. 1.97(e)(1)**

Sir:

Enclosed please find an EPC Examination report which applicant obtained from a foreign patent office in a counterpart foreign application on July 9, 2004. Also enclosed is an information disclosure statement listing the art that were cited by the foreign patent office which applicant wishes to record with the Office relating to the above application pursuant to Rule 37 C.F.R. Section 1.97.


Applicant submits under 37 C.F.R. 1.97(e)(1) that "each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement".

Respectfully submitted,  
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Encl. EPC Examination report and IDS Form

Sheet 1 of 1

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|---|--|--|---|--------------------------|
| FORM PTO-1449<br>(Rev. 7-80)                |  | U.S. DEPARTMENT OF COMMERCE<br>PATENT AND TRADEMARK OFFICE | ATTY. DOCKET NO.<br><b>5780</b>                               | SERIAL NO.<br>10/635,999 |
| <b>LIST OF PRIOR ART CITED BY APPLICANT</b> |  |  | APPLICANT<br>Joseph King, Michael Pontius,<br>Jeffery Johnson |                          |
|   |  |  | FILING DATE<br>August 7, 2003                                 | GROUP                    |

### U.S. Patent Documents

| Examiner<br>Initial | Document<br>Number | Date      | Name      | Class                           | Subclass | Filing Date<br>If Appropriate |         |
|---------------------|--------------------|-----------|-----------|---------------------------------|----------|-------------------------------|---------|
|                     | AA                 | 4,702,270 | 10/27/87  | Lloyd H. King, Sr.              | 137      | 268                           | 2/18/86 |
|                     | AB                 | 6,123,842 | 9/26/2000 | Leon Buchan                     | 210      | 169                           | 8/24/98 |
|                     | AC                 | 6,340,431 | 1/22/02   | Soudy Khan                      | 210      | 85                            | 8/11/01 |
|                     | AD                 | 4,630,634 | 12/23/86  | Isao Sasaki, Michael L. Schuman | 137      | 268                           | 12/2/85 |
|                     | AE                 | 5,407,567 | 4/18/95   | Harry W. Newhard                | 210      | 198.1                         | 11/1/93 |
|                     | AF                 | 5,055,183 | 10/8/91   | Leon Buchan                     | 210      | 085                           | 4/30/90 |
|                     | AG                 |           |           |                                 |          |                               |         |
|                     | AH                 |           |           |                                 |          |                               |         |
|                     | AI                 |           |           |                                 |          |                               |         |
|                     | AJ                 |           |           |                                 |          |                               |         |
|                     | AK                 |           |           |                                 |          |                               |         |
|                     | AL                 |           |           |                                 |          |                               |         |

### Foreign Patent Documents

| Examiner<br>Initial | Document<br>Number | Date      | Country  | Class | Subclass | Translation? |
|---------------------|--------------------|-----------|----------|-------|----------|--------------|
|                     | AM                 | EP1042234 | 11/10/00 | EPO   |          |              |
|                     | AN                 |           |          |       |          |              |
|                     | AO                 |           |          |       |          |              |
|                     | AP                 |           |          |       |          |              |
|                     | AQ                 |           |          |       |          |              |
|                     | AR                 |           |          |       |          |              |

### Other Prior Art

| Examiner<br>Initial | (Include Author, Title, Date, Pertinent Pages, Etc.) |
|---------------------|--|
| AS                  |  |
| AT                  |  |
| AU                  |  |

Examiner

Date Considered